

Attachment to the FATCA/CRS Self-certification – Controlling Persons

(Not for use by Individual Account Holders)

This document is an attachment to the CRS resp. FATCA&CRS original self-certification made by the

Legal entity/arrangement

that was signed on

DD - MM - YYYY

The purpose of this attachment is to add additional Controlling Persons to the ones that were provided in the original self-certification.

Controlling Person 7						
First/Given and Middle Name(s)		Family Name(s)/Surname(s)			Date of Birth (DD-MM-YYYY)	
					-	
Current residence address					City/Town of Birth	
City/Town		ZIP/Post code	Country		Country of Birth	
Country of Tax Residence 1		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Country of Tax Residence 2		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent
						<input type="radio"/> YES
						<input type="radio"/> NO

Controlling Person 8						
First/Given and Middle Name(s)		Family Name(s)/Surname(s)			Date of Birth (DD-MM-YYYY)	
					-	
Current residence address					City/Town of Birth	
City/Town		ZIP/Post code	Country		Country of Birth	
Country of Tax Residence 1		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Country of Tax Residence 2		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent
						<input type="radio"/> YES
						<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Controlling Person 9

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 10

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 11

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Controlling Person 12

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 13

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 14

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Controlling Person 15

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 16

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 17

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Declaration and Signature

As a representative authorised to sign on behalf of the entity:

I undertake to notify ING of any change in circumstances that cause any information on this form to become incorrect and to provide a suitably updated form within **30 days of such change**.

I declare that, if applicable, I have obtained permission from the Controlling Persons mentioned above to disclose information related to them for the purpose of it being reported to the tax authorities.

I acknowledge that the information contained in this form and information regarding the Account Holder and/or Controlling Person(s) and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder and/or Controlling Person(s) may be tax resident pursuant to intergovernmental/multilateral agreements to exchange financial account information.

I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete.

Authorised representative 1

Date - - DD-MM-YYYY

First and middle name(s)

Last name

Signature

Authorised representative 2

Date - - DD-MM-YYYY

First and middle name(s)

Last name

Signature

Authorised representative 3

Date - - DD-MM-YYYY

First and middle name(s)

Last name

Signature

Authorised representative 4

Date - - DD-MM-YYYY

First and middle name(s)

Last name

Signature